

Advanced modeling tools for laser-plasma accelerators (LPAs)

1/3

Carlo Benedetti

LBNL, Berkeley, CA, USA

(with contributions from R. Lehe, J.-L. Vay, T. Mehrling)

Advanced Summer School on

“Laser-Driven Sources of High Energy Particles and Radiation”

9-16 July 2017, CNR Conference Centre,
Anacapri, Capri, Italy



Course overview

- 3 lectures: Monday, Tuesday, Thursday
- Topics:
 - [1] The Particle-In-Cell (PIC) method as a tool to study laser-plasma interaction in LPAs;
 - [2] Limits/challenges of conventional PIC codes;
 - [3] Tools to speed-up the modeling of LPAs (Lorentz-boosted frame, quasi-static approximation, Fourier-mode decomposition, ponderomotive guiding center description, etc.);

Overview of lecture 1

- Basic physics of laser-plasma accelerators (LPAs);
- The Vlasov-Maxwell (V-M) equations system;
- The PIC approach to solve V-M equations system:
 - Numerical particles;
 - The PIC loop;
 - Force interpolation and current deposition;
 - Pushing “numerical” particles;
 - Solving Maxwell's equations on a grid;

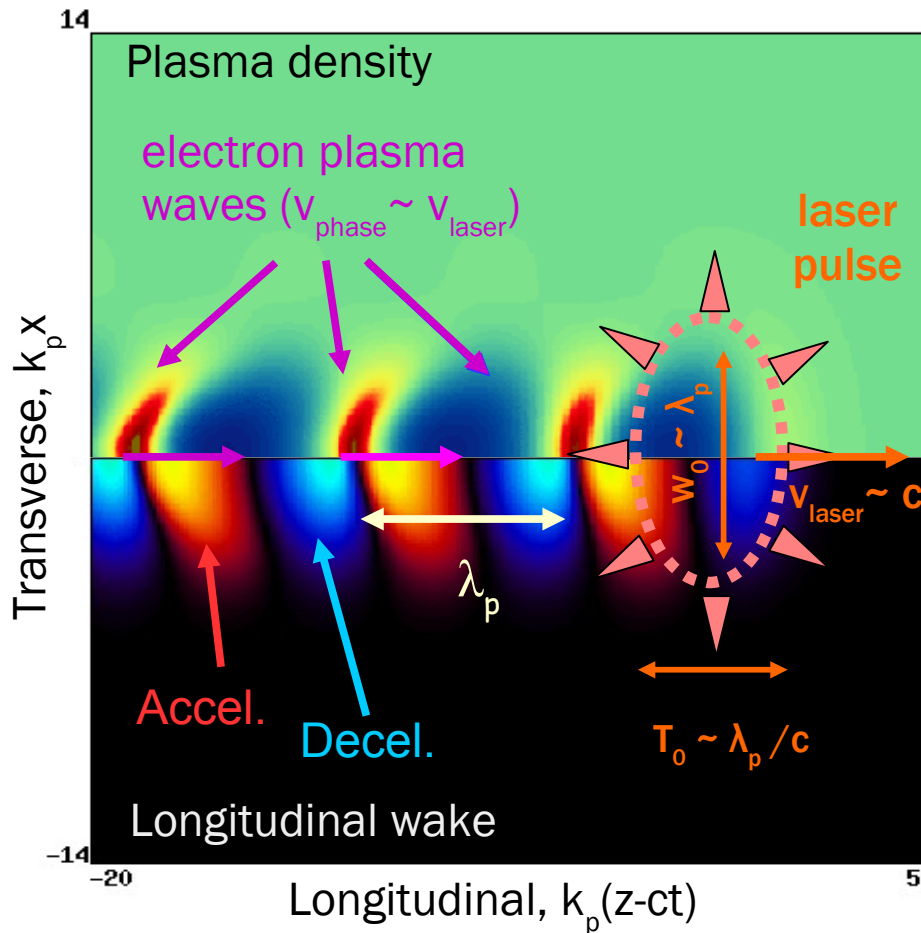
LPA as compact accelerators

Short and intense laser propagating in a plasma:

- short $\rightarrow T_0 = L_0/c \sim \lambda_p/c$ (tens of fs)

- intense $\rightarrow a_0 = eA_0/mc^2 \sim 1$ ($\lambda_0 = 0.8 \mu\text{m}$, $I_0 > 10^{18} \text{ W/cm}^2$)

Plasma wavelength:
 $\lambda_p \sim n_0^{-1/2} \approx 10\text{-}100 \mu\text{m}$,
 for $n_0 \approx 10^{19}\text{-}10^{17} \text{ cm}^{-3}$



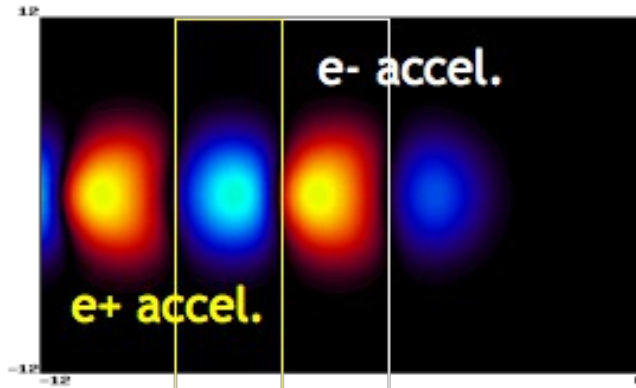
▲ = ponderomotive force:

$$F_p \sim -\text{grad}[I_{\text{laser}}]$$

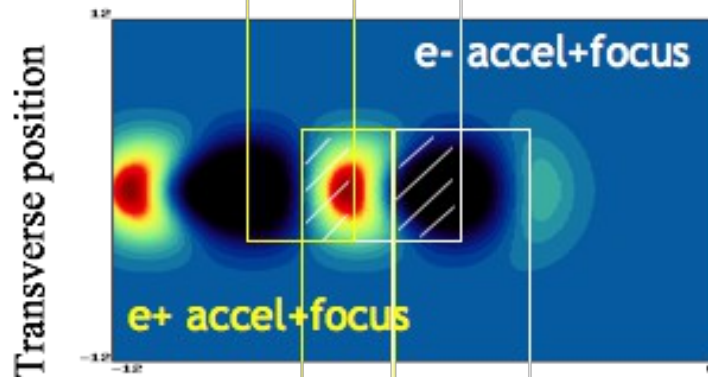
$\rightarrow F_p$ displaces electrons
 (but not the ions) creating
 charge separation from which
 EM fields arise

LPA produce 1-100 GV/m accelerating gradients + confining forces

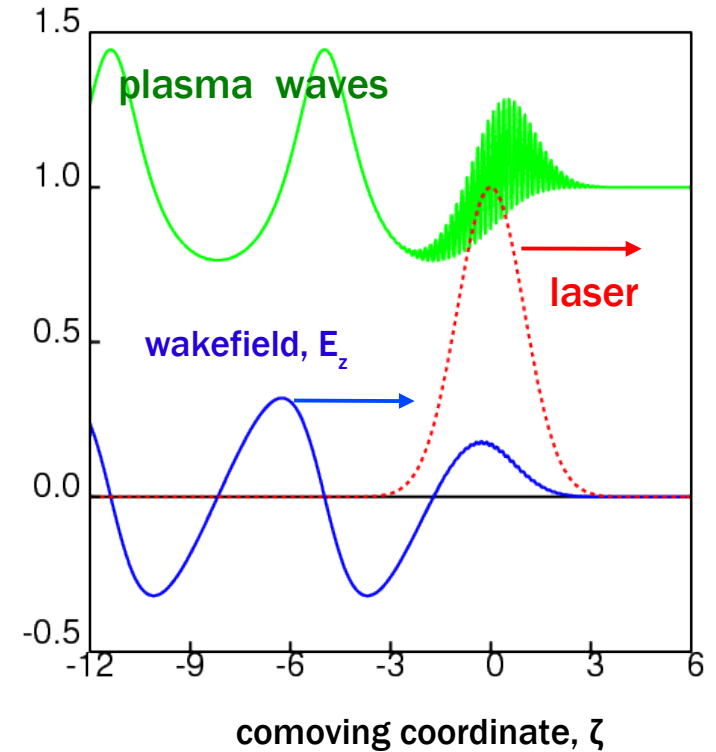
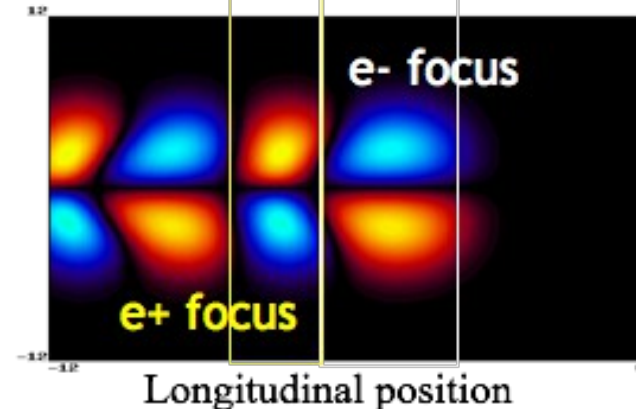
Accelerating field



Plasma density



Focusing field



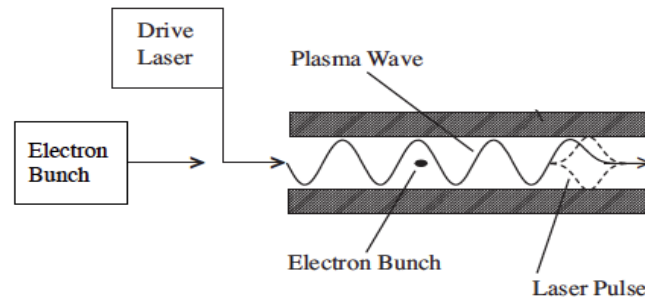
$$E_z \sim mc\omega_p / e \sim 100 \text{ [V/m]} \times (n_0 [\text{cm}^{-3}])^{1/2}$$

e.g., $n_0 \sim 10^{17} \text{ cm}^{-3}$, $a_0 \sim 1 \rightarrow E_z \sim 30 \text{ GV/m}$,
 $\sim 10^2\text{-}10^3$ larger than conventional
 RF accelerators

Electron bunches to be accelerated in an LPA can be obtained from background plasma

Electron bunch to be accelerated

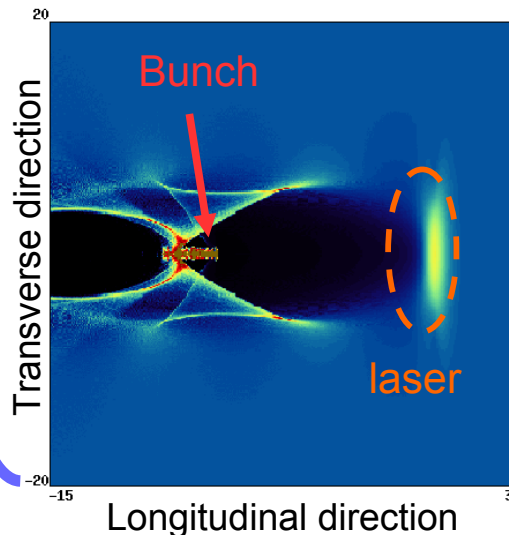
→ external injection (bunch from a conventional accelerator)



Requires:

- short (\sim fs) bunch generation
- precise bunch-laser synchronization

→ trapping of background plasma electrons



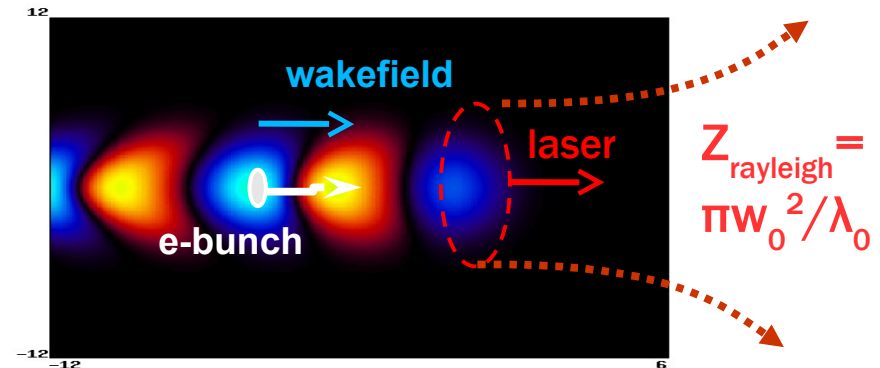
* self-injection (requires high-intensity, high plasma density) → limited control

* controlled injection → use laser(s) and/or tailored plasma to manipulate the plasma wave properties and capture background electrons

- laser-triggered injection (e.g., colliding pulse)
- ionization-induced injection
- density gradient injection

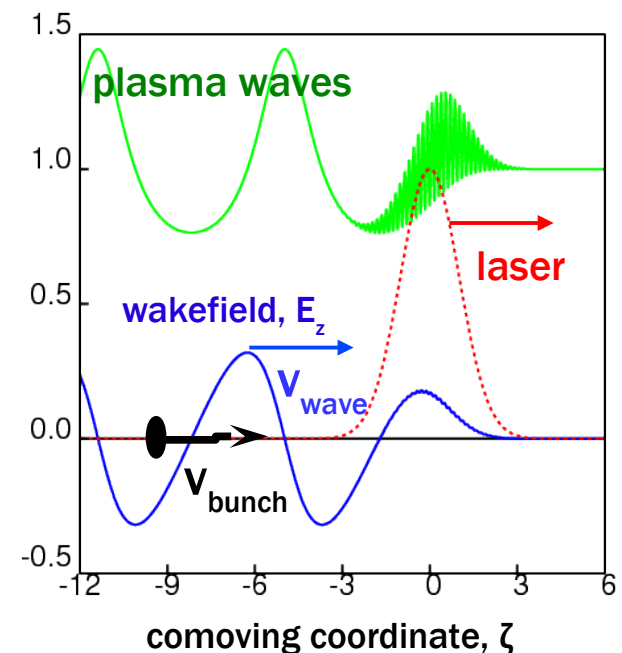
Limits to energy gain in a (single stage) LPA

- **laser diffraction (~ Rayleigh range)**
 → mitigated by guiding:
 plasma channel and/or
 self-focusing/self-guiding



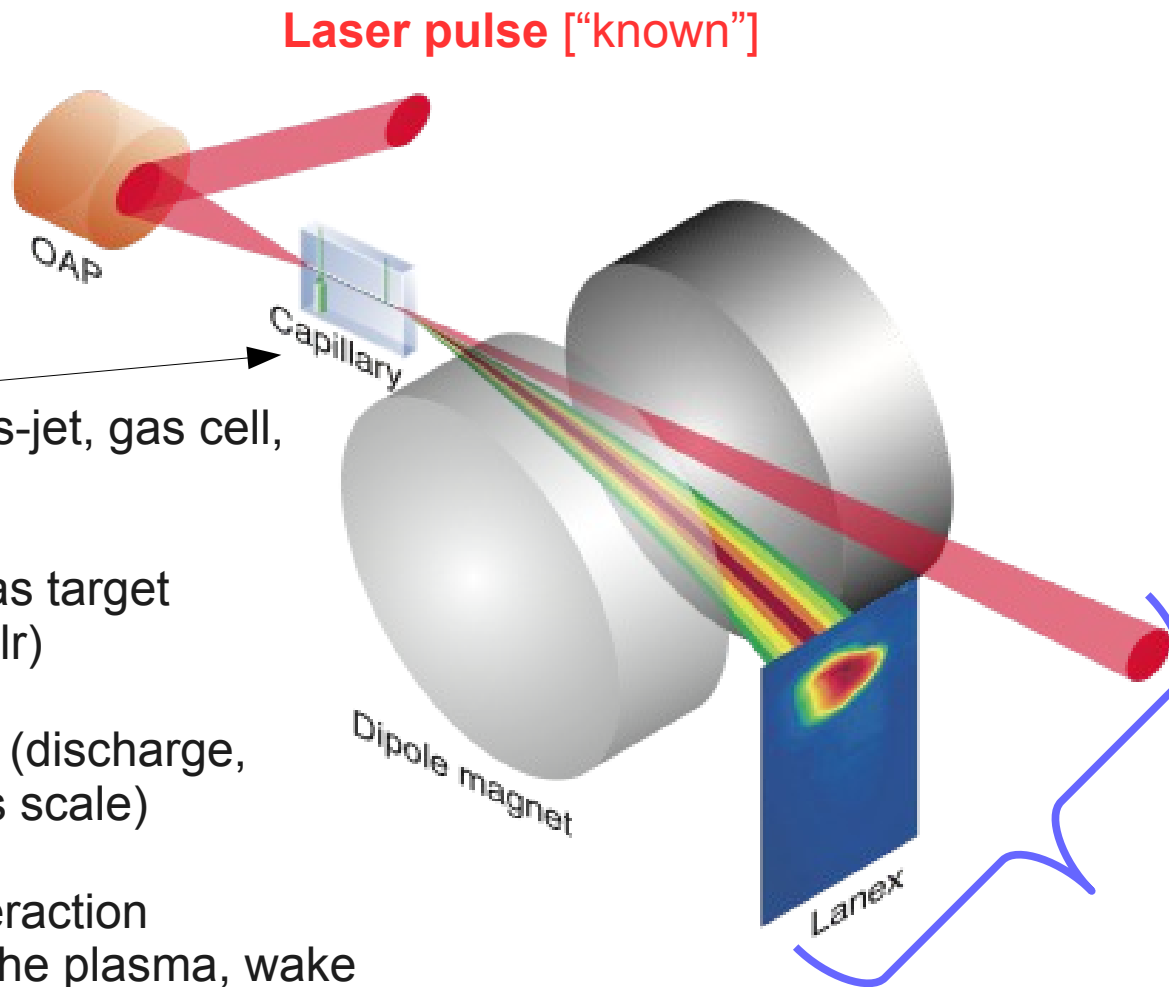
- **beam-wave dephasing:**
 $\beta_{\text{bunch}} \approx 1, \beta_{\text{wave}} \approx 1 - \lambda_0^2 / (2\lambda_p^2)$
 → slippage $L_d \approx (\lambda_p / 4) / (\beta_{\text{bunch}} - \beta_{\text{wave}}) \sim n_0^{-3/2}$
 → mitigated by longitudinal density tailoring

- **laser energy depletion** → energy loss into plasma wave excitation, $L_{\text{pd}} \sim n_0^{-3/2}$
 ($L_{\text{pd}} \approx 1 \text{ cm}$ for $n_0 = 10^{18} \text{ cm}^{-3}$)



Interaction length $\sim n_0^{-3/2}$
 Acc. gradient $\sim n_0^{1/2}$ → Energy gain $\sim n_0^{-1}$

Schematic of a “typical” LPA experiment + modeling needs



Plasma target (gas-jet, gas cell, capillary, etc.):

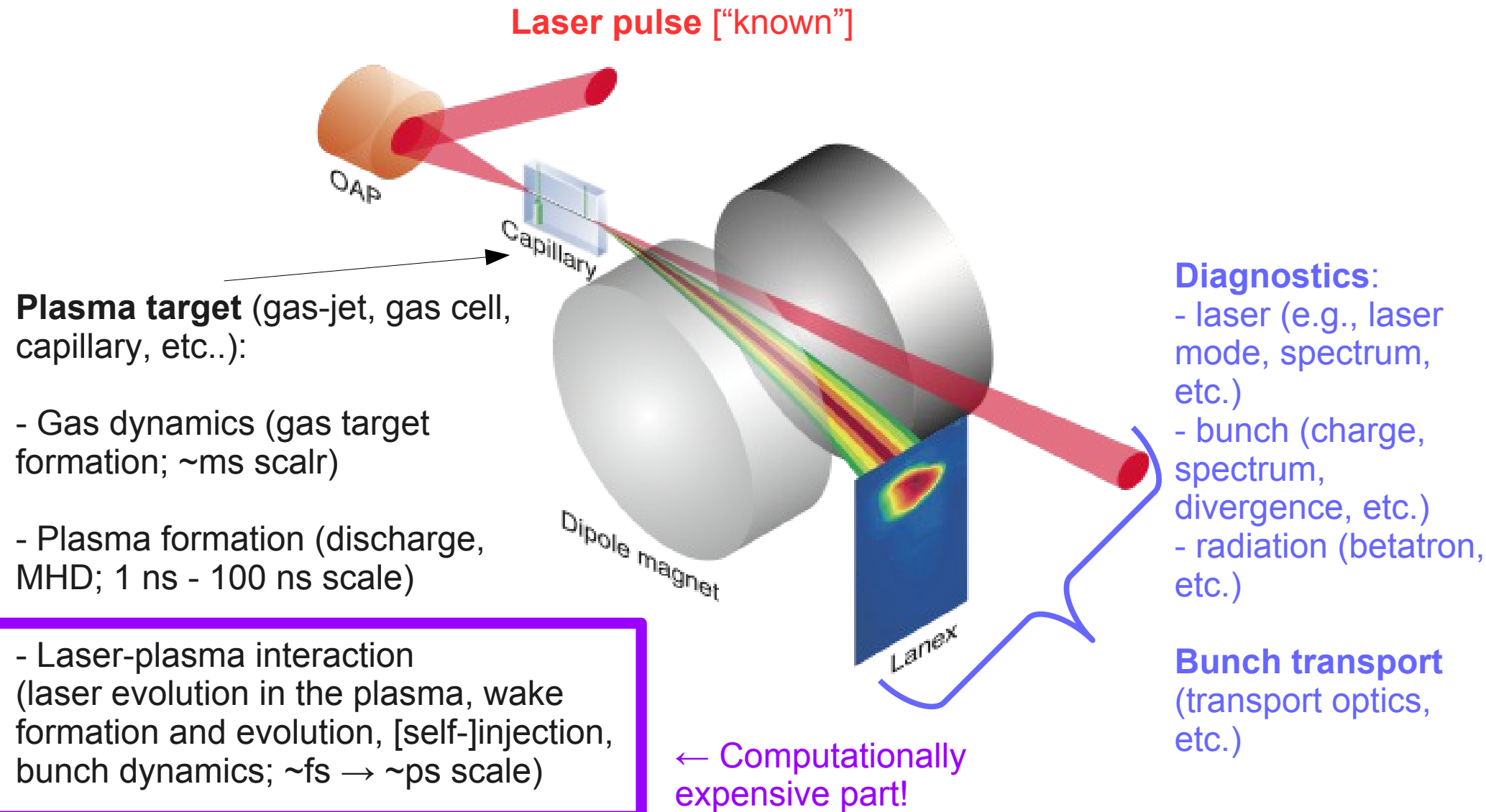
- Gas dynamics (gas target formation; \sim ms scale)
- Plasma formation (discharge, MHD; 1 ns - 100 ns scale)
- Laser-plasma interaction (laser evolution in the plasma, wake formation and evolution, [self-]injection, bunch dynamics; \sim fs \rightarrow \sim ps scale)

Diagnostics:

- laser (e.g., laser mode, spectrum, etc.)
- bunch (charge, spectrum, divergence, etc.)
- radiation (betatron, etc.)

Bunch transport (transport optics, etc.)

Schematic of a “typical” LPA experiment + modeling needs



Laser-plasma interaction physics in LPAs described via Maxwell-Vlasov equations

- **Statistical*** description for the plasma in the 6D (\mathbf{r}, \mathbf{p}) phase-space
 → phase-space distribution function $f_s(\mathbf{r}, \mathbf{p}, t) d\mathbf{r} d\mathbf{p} = \#$ particles (s =electron, ion) located between \mathbf{r} and $\mathbf{r}+d\mathbf{r}$ with a momentum between \mathbf{p} and $\mathbf{p}+d\mathbf{p}$ at time t

- Evolution of the distribution → **Vlasov** equation (collisionless plasma)

$$\frac{\partial f_s}{\partial t} + \mathbf{v} \cdot \frac{\partial f_s}{\partial \mathbf{r}} + q_s \left(\mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) \cdot \frac{\partial f_s}{\partial \mathbf{p}} = 0 \quad \left. \vphantom{\frac{\partial f_s}{\partial t}} \right\} \text{Plasma dynamics}$$

- Evolution of the fields $\mathbf{E}(\mathbf{r}, t)$, $\mathbf{B}(\mathbf{r}, t)$ → **Maxwell** equations

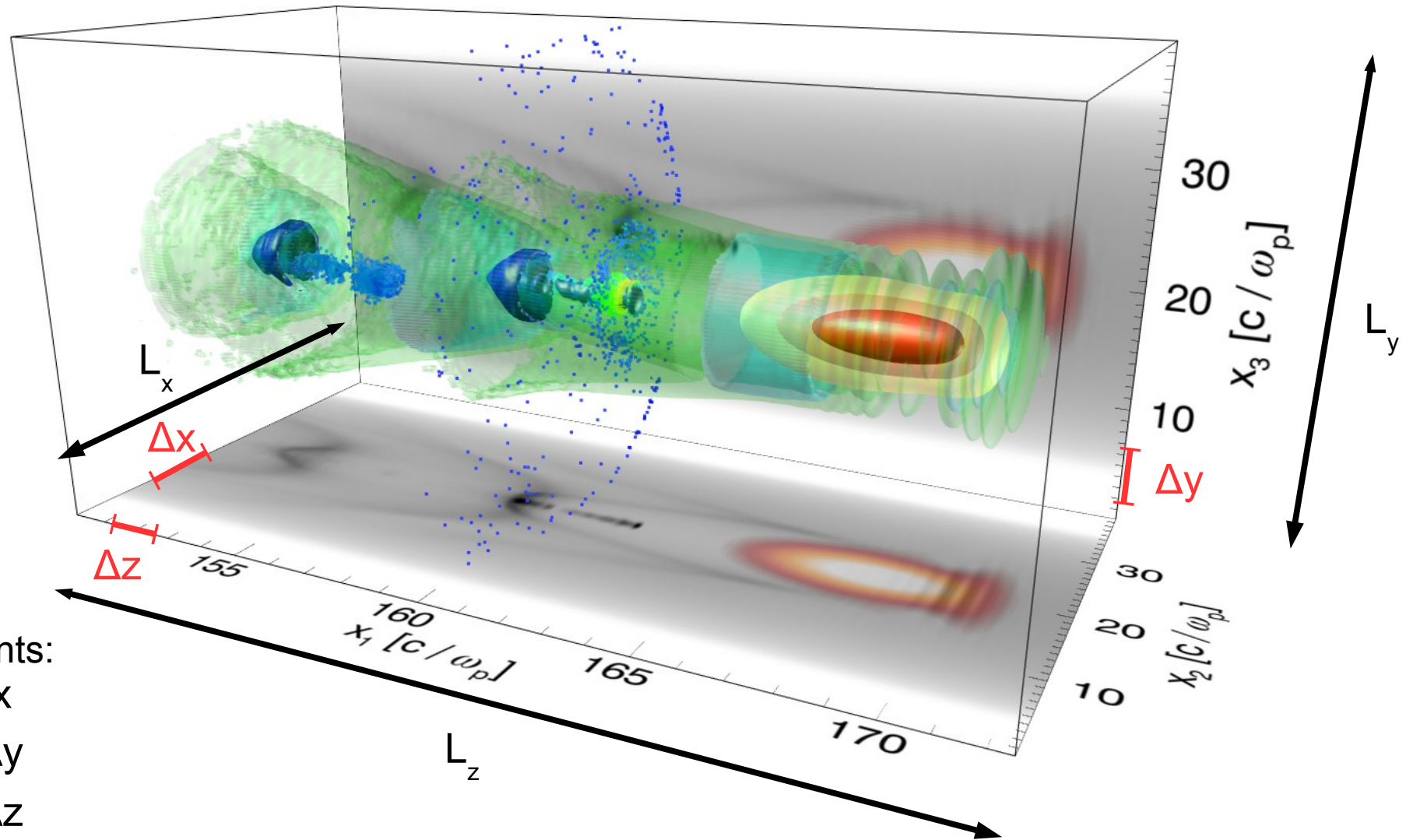
$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J} \quad \left. \vphantom{\frac{\partial \mathbf{B}}{\partial t}} \right\} \text{Laser + Wakefield dynamics}$$

- Coupling between Vlasov ↔ Maxwell

$$\mathbf{J} = \sum_s q_s \int \mathbf{v} f_s(\mathbf{p}, \mathbf{r}, t) d\mathbf{p} \quad n(\mathbf{r}, t) = \int f_s(\mathbf{r}, \mathbf{p}, t) d^3\mathbf{p}$$

Numerical solution of M-V equations requires using grids (spatial, phase-space) to represent physical quantities

- E.g., 3D grid for density



Grid points:

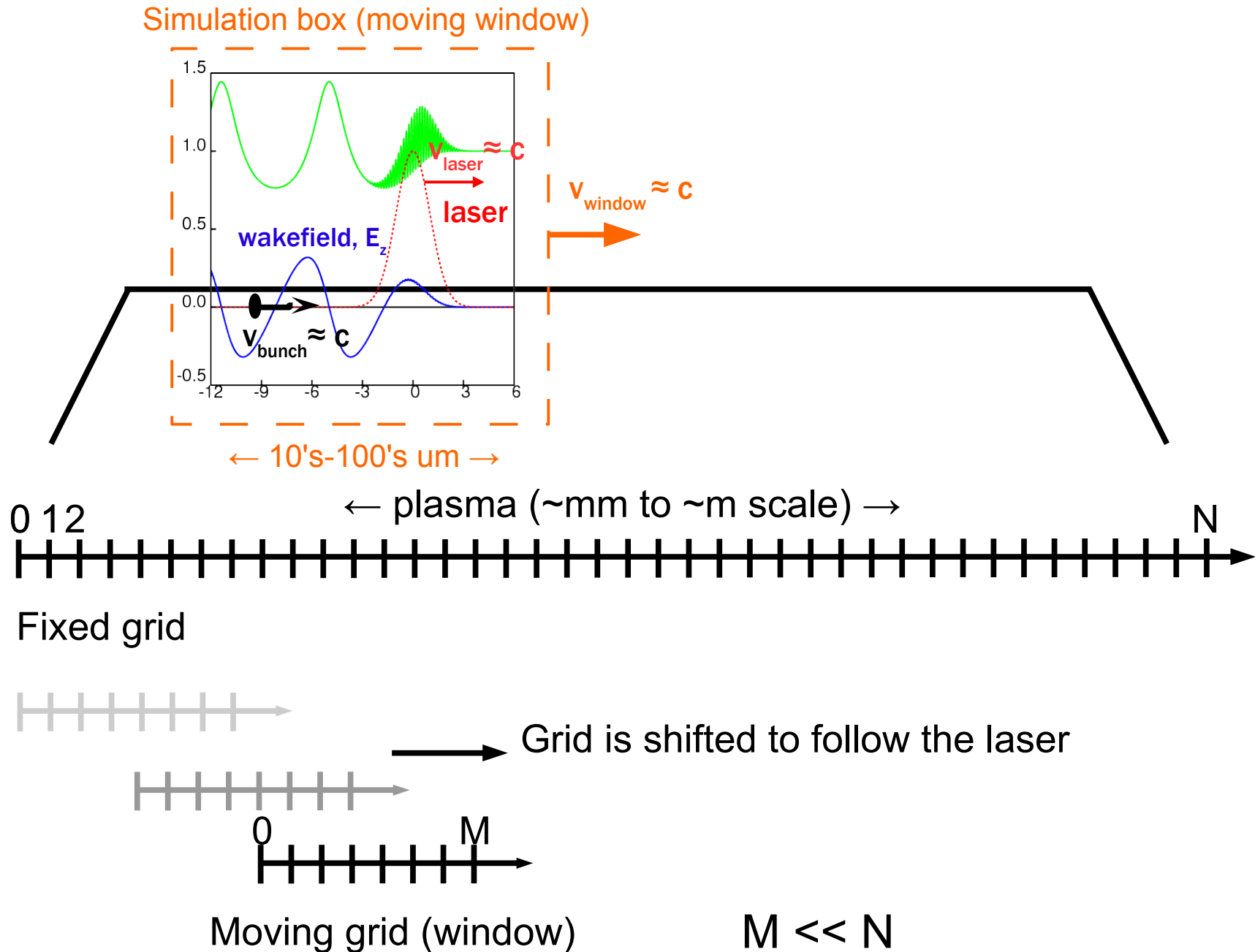
$$N_x \approx L_x / \Delta x$$

$$N_y \approx L_y / \Delta y$$

$$N_z \approx L_z / \Delta z$$

$$\rightarrow N_{3D} = N_x * N_y * N_z$$

Use of a moving computational box greatly reduces memory requirements for LPA simulations



Numerical solution of the Maxwell-Vlasov equations: direct solution

- Direct numerical solution of MV equations is **unfeasible**

$E(\mathbf{r}), B(\mathbf{r}), J(\mathbf{r}), \dots \rightarrow$ discretized on a 3D spatial grid

$f_s(\mathbf{r}, \mathbf{p}, t) \rightarrow$ discretized on a 6D = 3D (space) x 3D (momentum) phase-space grid

Ex: Plasma: $n_0 \sim 10^{18} \text{ cm}^{-3} \rightarrow \lambda_p \sim 30 \text{ um}$

Laser: $\lambda_0 \sim 1 \text{ um}, L_0 \sim 10 \text{ um}, w_0 \sim 30 \text{ um}$

3D spatial grid:

$L_x \sim L_y \sim L_z \sim 100 \text{ um}$ [a few plasma lengths]

$\Delta x \sim \Delta y \sim \lambda_p / 60$ [transverse]

$\Delta z \sim \lambda_0 / 30$ [longitudinal]

$N_x \sim N_y \sim 200, N_z \sim 3000$

3D momentum grid:

$L_{px} \sim L_{py} \sim 10 \text{ mc}$ [transverse]

$L_{pz} \sim 2000 \text{ mc}$ [longitudinal]

$\Delta px \sim \Delta py \sim \Delta pz \sim mc/10$ [transverse]

$N_{px} \sim N_{py} \sim 100, N_{pz} \sim 20000$

$N_{3D} = N_x * N_y * N_z \sim 1.2 \times 10^8$ points

$N_{6D} = N_{3D} * N_{px} * N_{py} * N_{pz} \sim 2 \times 10^{16}$ points

→ Representing 1 double precision quantity (f_s) on a grid with N_{6D} points requires **>200 PBytes** of memory ==> **UNFEASIBLE!!!**

Numerical solution of the Maxwell-Vlasov equations: particle method (PIC)/1

- Vlasov equation solved using a **particle method** (+ 3D spatial grid for the fields)

$$f_s(\mathbf{r}, \mathbf{p}, t) = (1/N_s) \sum_k g[\mathbf{r} - \mathbf{r}_k(t)] \delta[\mathbf{p} - \mathbf{p}_k(t)]$$

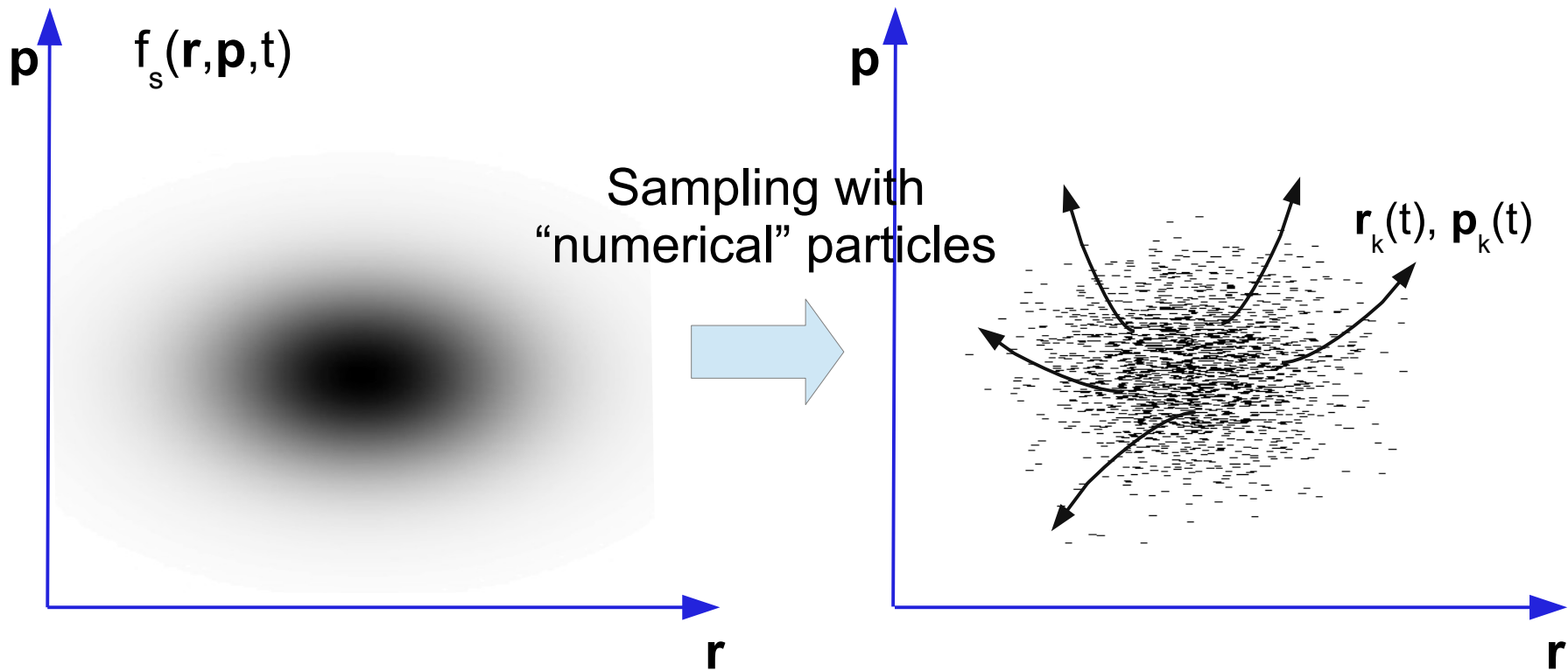
Particle "shape"
(finite spatial extent)

$g \rightarrow$ "compact support" function $\int g(\mathbf{r}) d\mathbf{r} = 1$

$\delta \rightarrow$ Dirac function

$N_s \rightarrow$ # "numerical" particles

$\mathbf{r}_k(t), \mathbf{p}_k(t) \rightarrow$ phase-space orbit of the k -th "numerical" particle (Vlasov characteristic)



Numerical solution of the Maxwell-Vlasov equations: particle method (PIC)/2

- Equation for the characteristics of the Vlasov equation

$$\frac{\partial f_s}{\partial t} + \mathbf{v} \cdot \frac{\partial f_s}{\partial \mathbf{r}} + q_s \left(\mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) \cdot \frac{\partial f_s}{\partial \mathbf{p}} = 0$$

$$f_s(\mathbf{r}, \mathbf{p}, t) = (1/N_s) \sum_k g[\mathbf{r} - \mathbf{r}_k(t)] \delta[\mathbf{p} - \mathbf{p}_k(t)]$$

$$\left. \begin{array}{l} \frac{d\mathbf{r}_k}{dt} = \mathbf{v}_k = \mathbf{p}_k / m\gamma_k \\ \frac{d\mathbf{p}_k}{dt} = q_s [\mathbf{E}_k + (\mathbf{v}_k/c) \mathbf{B}_k] \end{array} \right\} \rightarrow$$

where $\mathbf{E}_k = \int \mathbf{E}(\mathbf{r}) g(\mathbf{r} - \mathbf{r}_k) d\mathbf{r}$,
 $\mathbf{B}_k = \int \mathbf{B}(\mathbf{r}) g(\mathbf{r} - \mathbf{r}_k) d\mathbf{r}$

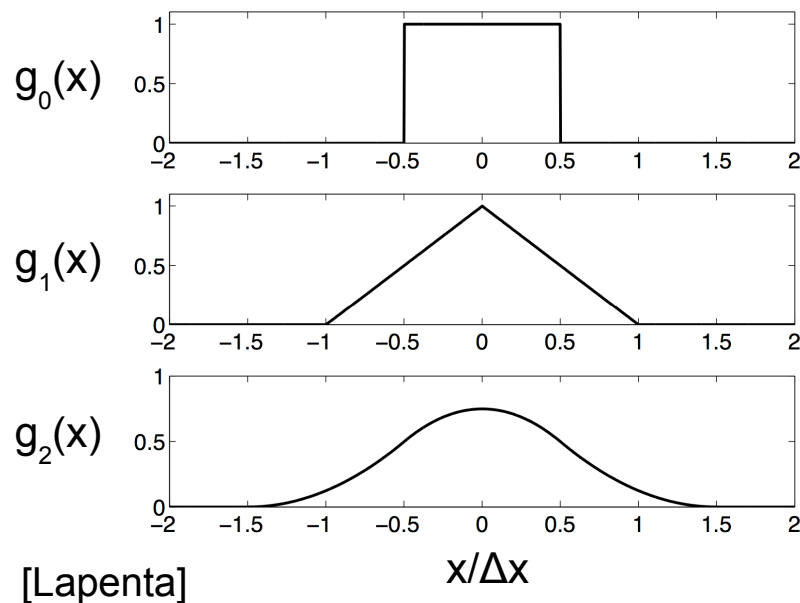
==> evolution of f_s described via the motion of a “swarm” of numerical particles

- Expressing the current density using numerical particles

$$\mathbf{J} = \sum_s q_s \int \mathbf{v} f_s(\mathbf{p}, \mathbf{r}, t) d\mathbf{p} \longrightarrow \mathbf{J} = \sum_s (q_s/N_s) \sum_{k=0, N_s} \mathbf{v}_k g[\mathbf{r} - \mathbf{r}_k(t)]$$

Numerical solution of the Maxwell-Vlasov equations: particle method (PIC)/3

- Example of particle shapes: $g(\mathbf{r})=g_x(x)g_y(y)g_z(z)$

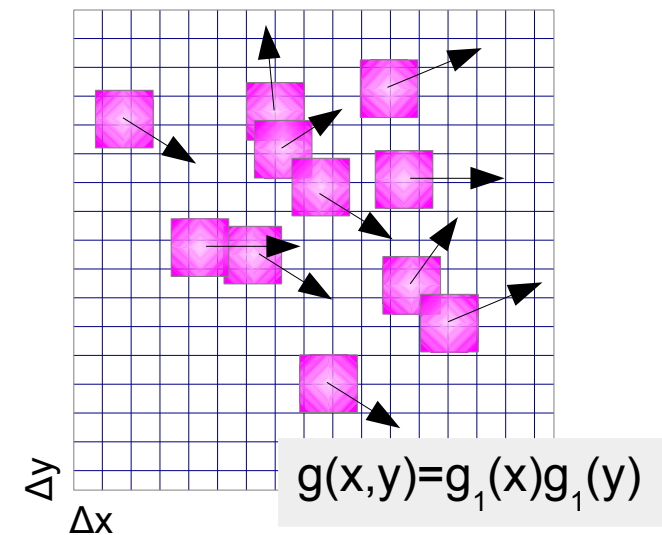


Spatial extension = Δx

Spatial extension = $2\Delta x$

Spatial extension = $3\Delta x$

Numerical particles on the spatial grid
("clouds" of charge)



- describes interaction particles \leftrightarrow grid
- finite spatial extension (to limit number of calculations)
- type of shape controls noise in simulation (higher order reduces noise)

Memory requirements for the solution of Maxwell-Vlasov equations using the PIC technique

Plasma: $n_0 \sim 10^{18} \text{ cm}^{-3} \rightarrow \lambda_p \sim 30 \text{ um}$

Laser: $\lambda_0 \sim 1 \text{ um}$, $L_0 \sim 10 \text{ um}$, $w_0 \sim 30 \text{ um}$

3D spatial grid:

$L_x \sim L_y \sim L_z \sim 100 \text{ um}$ [a few plasma lengths]

$\Delta x \sim \Delta y \sim \lambda_p / 60$ [transverse]

$\Delta z \sim \lambda_0 / 30$ [longitudinal]

$N_x \sim N_y \sim 200$, $N_z \sim 3000$

$$N_{3D} = N_x * N_y * N_z \sim 1.2 \times 10^8 \text{ points}$$

Particles:

$N_{ppc} = 1-100$

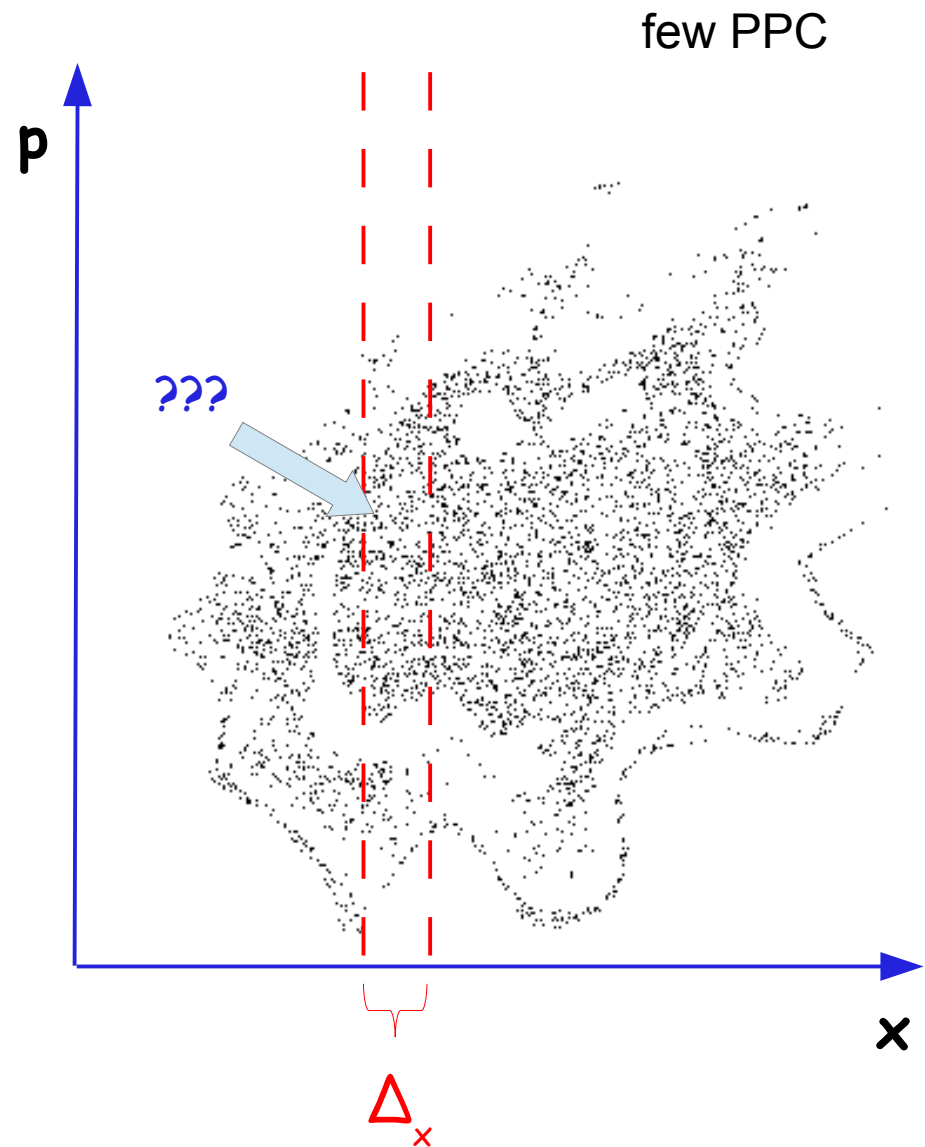
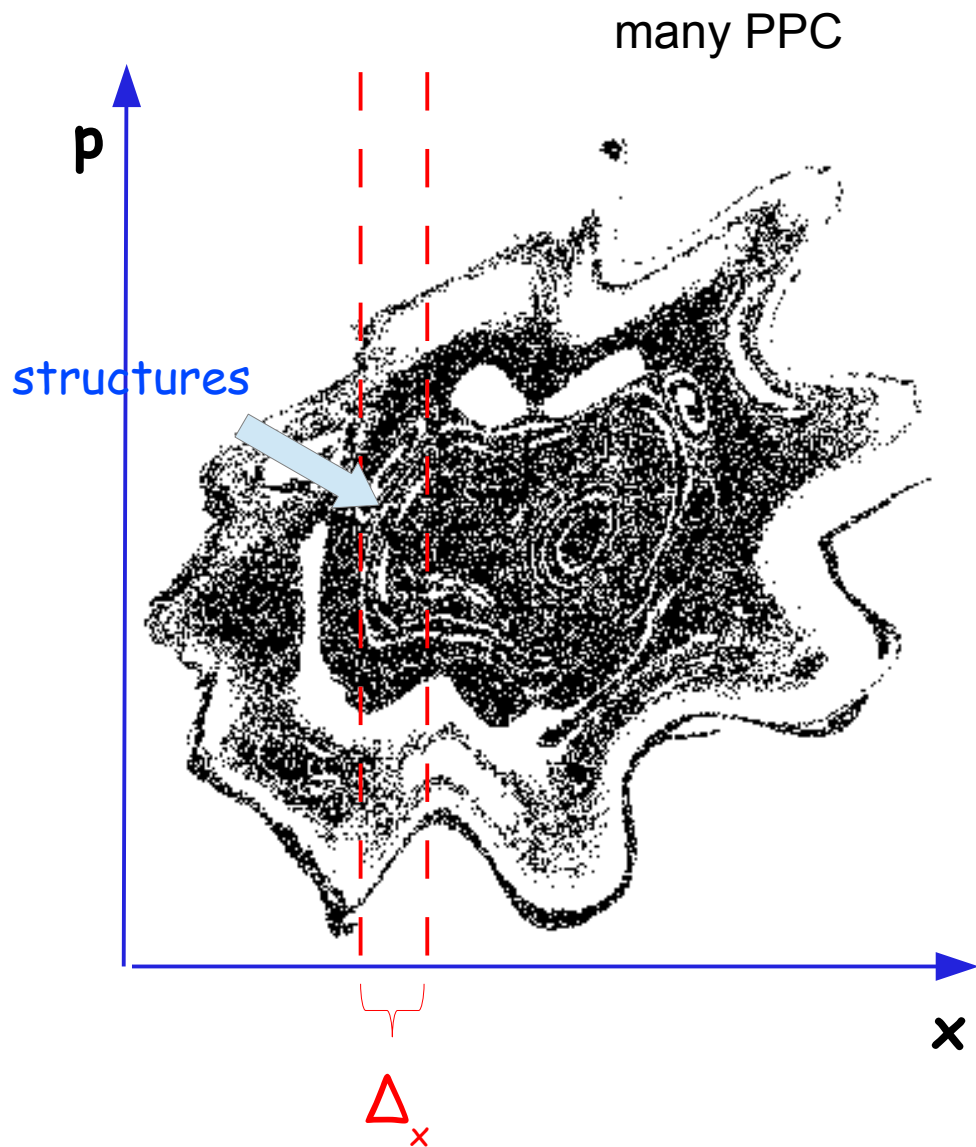
$$N_{tot} = N_{3D} * N_{ppc} \sim 10^8 - 10^{10} \text{ particles}$$

Grid \rightarrow (9 fields) x (8 bytes) x $N_{3D} \sim 7 \text{ GBytes}$

Particles \rightarrow (6 coordinates) x (8 bytes) x $N_{tot} \sim (5-500) \text{ GBytes}$

Memory requirements OK!!!

Resolution in momentum space depends on number of “numerical” particles per cell



The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

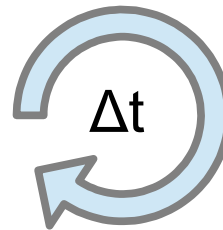
Load initial EM fields on the grid

Load initial particle distribution

Force interpolation
 $(\mathbf{E}, \mathbf{B})_{i,j} \rightarrow \mathbf{F}_k$

Evolve \mathbf{E}, \mathbf{B} (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$



Push particle

$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$

Current deposition
 $(\mathbf{r}_k, \mathbf{p}_k) \rightarrow \mathbf{J}_{i,j}$

The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

Load initial EM fields on the grid

Load initial particle distribution

Force interpolation
 $(E, B)_{i,j} \rightarrow F_k$

Evolve E, B (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$

Push particle

$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$

Δt

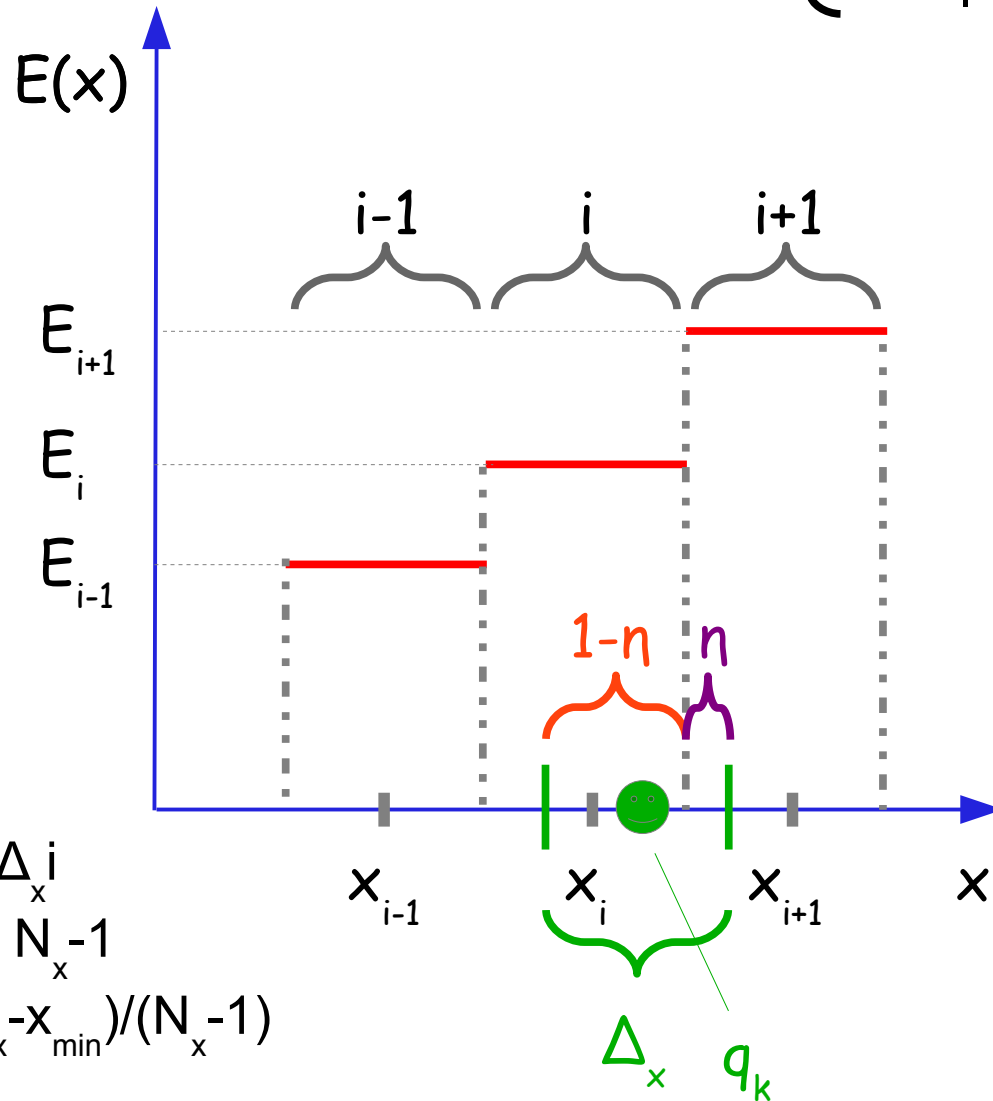
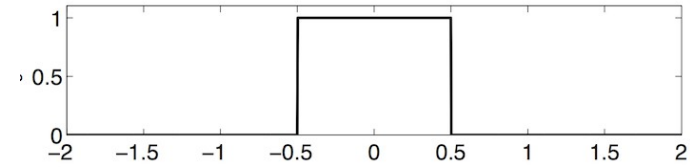
Current deposition

$$(r_k, p_k) \rightarrow J_{i,j}$$

Force interpolation: grid \rightarrow particle [1D]

$$E_k = \int E(x)g(x - q_k)dx$$

$$g_0(x) = \begin{cases} 1/\Delta_x & |x| < \Delta_x/2 \\ 0 & |x| > \Delta_x/2 \end{cases}$$



$$\eta = (q_k - x_i) / \Delta_x$$

$$\langle E \rangle (x = q_k) = (1 - \eta)E_i + \eta E_{i+1}$$

$$\text{if } q_k = x_i \rightarrow \langle E \rangle = E_i$$

$$\text{if } q_k = x_{i+1/2} \rightarrow \langle E \rangle = (E_i + E_{i+1})/2$$

$$\text{if } q_k = x_{i+1} \rightarrow \langle E \rangle = E_{i+1}$$

$$x_i = x_{\min} + \Delta_x i$$

$$i = 0, 1, \dots, N_x - 1$$

$$\Delta_x = (x_{\max} - x_{\min}) / (N_x - 1)$$

The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

Load initial EM fields on the grid

Load initial particle distribution

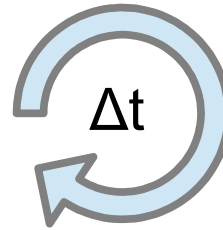
Force interpolation
 $(E, B)_{i,j} \rightarrow F_k$

Evolve E, B (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$

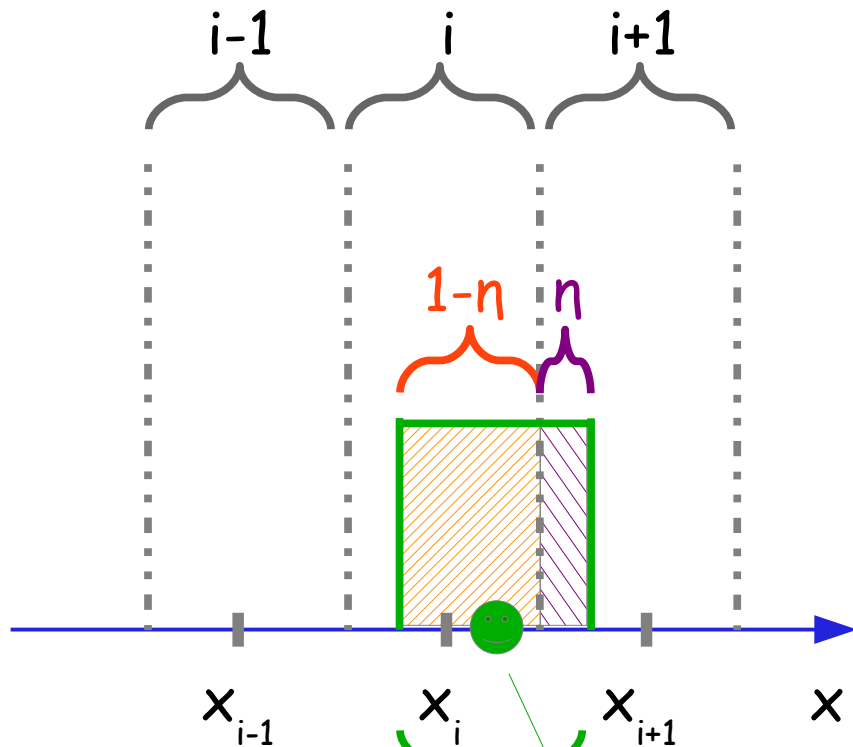
Push particle

$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$



Current deposition
 $(r_k, p_k) \rightarrow J_{i,j}$

Current deposition: particle → grid [1D]



$$J_i = \frac{1}{\Delta_x} \int_{x_i - \Delta_x/2}^{x_i + \Delta_x/2} J(x) dx$$

$$g_0(x) = \begin{cases} 1/\Delta_x & |x| < \Delta_x/2 \\ 0 & |x| > \Delta_x/2 \end{cases}$$

$$\eta = (q_k - x_i) / \Delta_x$$

==> Charge distributed between the grid points i and i+1

$$x_i = x_{\min} + \Delta_x i$$

$$i = 0, 1, \dots, N_x - 1$$

$$\Delta_x = (x_{\max} - x_{\min}) / (N_x - 1)$$

$$J_i += (1-\eta) * (q_s u_k / m \gamma_k) * (1/\Delta_x) * (1/N_s)$$

$$J_{i+1} += \eta * (q_s u_k / m \gamma_k) * (1/\Delta_x) * (1/N_s)$$

N.B. Using the same scheme to perform force interpolation and current deposition gives no self-force on the particle.

The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

Load initial EM fields on the grid

Load initial particle distribution

Force interpolation
 $(E, B)_{i,j} \rightarrow F_k$

Evolve E, B (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$

Push particle

$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$

Δt

Current deposition
 $(r_k, p_k) \rightarrow J_{i,j}$

Major criteria to chose algorithms in a PIC code

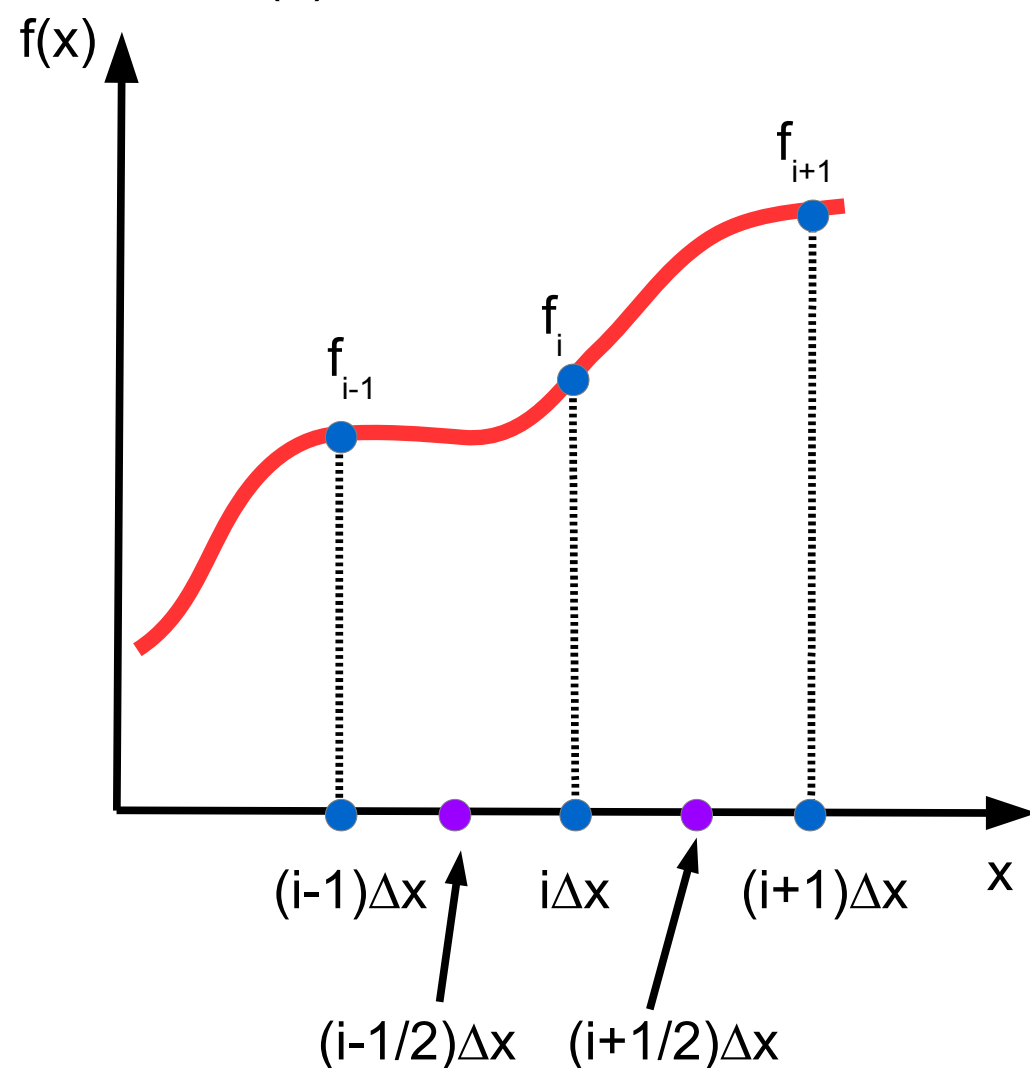
Integration of Maxwell's equations and particle's equations of motion requires solving PDEs and ODEs → discretized numerical solution

Properties of numerical schemes:

- **Convergence** → the numerical solution goes to the analytical one if Δ_x , Δ_y , Δ_z and Δ_t go to zero.
- **Accuracy** → scaling of the truncation error with Δ_x , Δ_y , Δ_z and Δ_t .
- **Stability** → if total errors (truncation + round-off) grows in time then the scheme is unstable.
- **Efficiency** → computational cost of the algorithm.
- **Dissipation** → dissipation of some physical quantity due to truncation error.
- **Conservation** → deviation of the conservation law caused by the truncation error.

Discretization of (spatial and temporal) derivatives

$x \rightarrow$ space or time variable
 $\Delta x \rightarrow$ discretization step
 $f(x) \rightarrow$ some function of x



Derivatives of $f(x)$ [using Taylor's expansion]:

- $df/dx|_i = (f_{i+1} - f_i) / \Delta x + O(\Delta x)$
 - $df/dx|_i = (f_i - f_{i-1}) / \Delta x + O(\Delta x)$
- } 1st order
- $df/dx|_i = (f_{i+1} - f_{i-1}) / (2\Delta x) + O(\Delta x^2)$
 - $df/dx|_{i+1/2} = (f_{i+1} - f_i) / \Delta x + O(\Delta x^2)$
 - $df/dx|_{i-1/2} = (f_i - f_{i-1}) / \Delta x + O(\Delta x^2)$
- } 2nd order

\rightarrow centering easy way to construct 2nd order scheme

\rightarrow time integration of an ODE requires at least a 2nd order scheme in order to provide meaningful results

The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

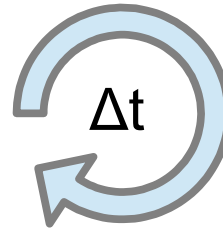
Load initial EM fields on the grid

Load initial particle distribution

Force interpolation
 $(E, B)_{i,j} \rightarrow F_k$

Evolve E, B (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$



Push particle

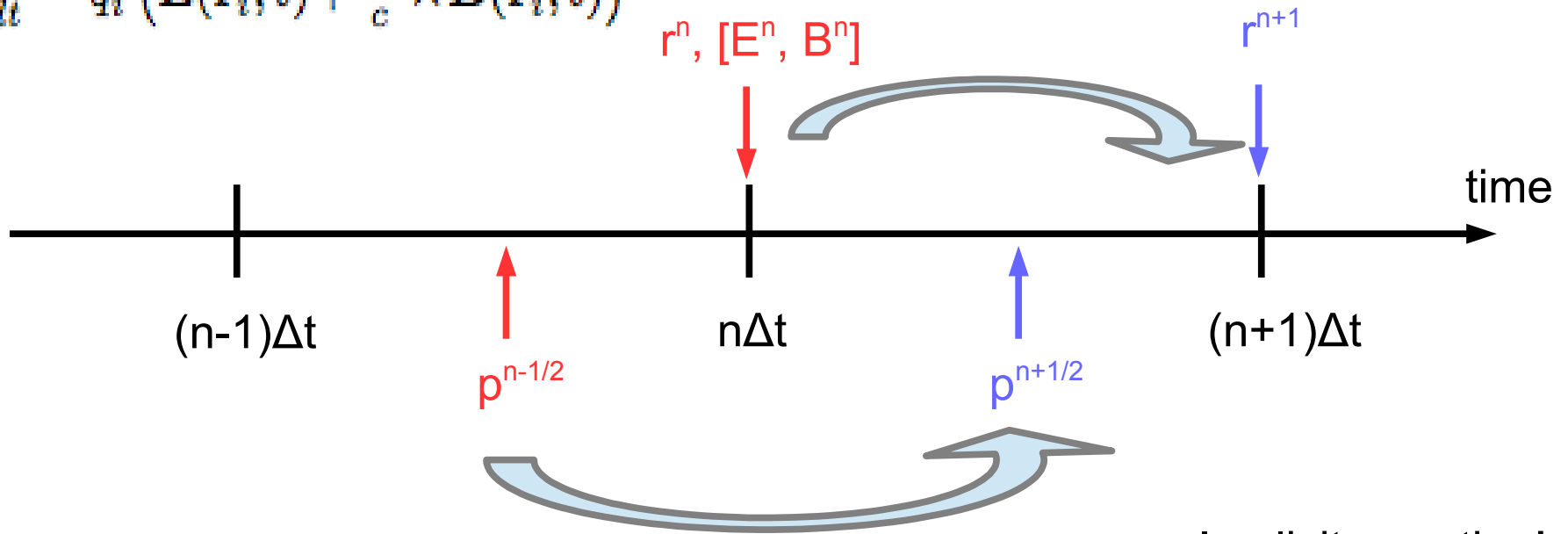
$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$

Current deposition
 $(r_k, p_k) \rightarrow J_{i,j}$

Particle pusher (2nd order “leapfrog” scheme)

$$\begin{cases} \frac{dr_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i \left(\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t) \right) \end{cases}$$

Position and momentum are **staggered in time** → 2nd order accurate scheme!



$$\left. \begin{aligned} (d\mathbf{p}/dt)^n &\rightarrow (\mathbf{p}^{n+1/2} - \mathbf{p}^{n-1/2})/\Delta t \\ \mathbf{v}^n/c &\rightarrow (\mathbf{p}^{n+1/2} + \mathbf{p}^{n-1/2})/(2mc\gamma^n) \end{aligned} \right\} \frac{\mathbf{p}^{n+1/2} - \mathbf{p}^{n-1/2}}{\Delta t} = q \left(\mathbf{E}^n + \frac{\mathbf{p}^{n+1/2} + \mathbf{p}^{n-1/2}}{2mc\gamma^n} \times \mathbf{B}^n \right)$$

Implicit equation!

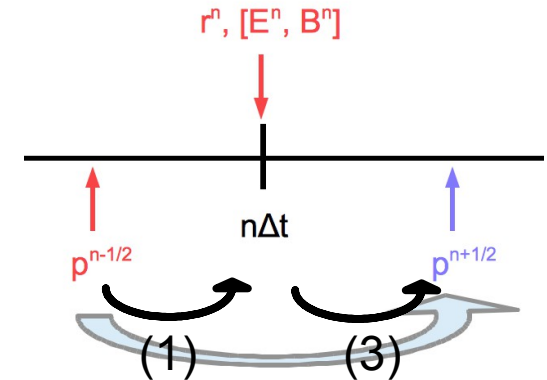
$$(dr/dt)^{n+1/2} \rightarrow \frac{\mathbf{r}^{n+1} - \mathbf{r}^n}{\Delta t} = \mathbf{v}^{n+1/2} = \mathbf{p}^{n+1/2}/(m\gamma^{n+1/2})$$

Solution of momentum equation with Boris scheme (explicit)

Boris scheme (2nd order, time reversible) separates the contributions of electric and magnetic fields in the motion of the particle

→ (1) momentum change due to \mathbf{E} (1/2 kick)

$$\mathbf{p}^{n-1/2} \rightarrow \mathbf{p}^- = \mathbf{p}^{n-1/2} + q \mathbf{E}^n (\Delta t/2)$$



→ (2) rotation of \mathbf{p}^- due to \mathbf{B} (particle energy does not change)

$$\gamma^n = [1 + (\mathbf{p}^-/mc)^2]^{1/2}$$

$$\mathbf{t} = q\Delta t \mathbf{B}^n / 2mc\gamma^n$$

$$\mathbf{s} = 2\mathbf{t} / (1 + |\mathbf{t}|^2)$$

$$\mathbf{p}' = \mathbf{p}^- + \mathbf{p}^- \times \mathbf{t}$$

$\mathbf{p}^- \rightarrow \mathbf{p}^+$: rotation around \mathbf{B}^n by an angle $\arctan[q\Delta t \mathbf{B}^n / 2mc\gamma^n]$

$$\mathbf{p}^+ = \mathbf{p}^- + \mathbf{p}' \times \mathbf{s}$$

→ (3) momentum change due to \mathbf{E} (1/2 kick)

$$\mathbf{p}^+ \rightarrow \mathbf{p}^{n+1/2} = \mathbf{p}^+ + q \mathbf{E}^n (\Delta t/2)$$

The PIC loop: self-consistent solution of Maxwell-Vlasov equations

Initial condition →

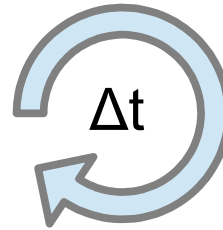
Load initial EM fields on the grid

Load initial particle distribution

Force interpolation
 $(E, B)_{i,j} \rightarrow F_k$

Evolve E, B (solution of Maxwell's equations)

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \quad \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J}$$



Push particle

$$\begin{cases} \frac{d\mathbf{r}_i}{dt} = \mathbf{v}_i \equiv \frac{\mathbf{p}_i}{m_i \gamma_i}, \\ \frac{d\mathbf{p}_i}{dt} = q_i (\mathbf{E}(\mathbf{r}_i, t) + \frac{\mathbf{v}_i}{c} \times \mathbf{B}(\mathbf{r}_i, t)) \end{cases}$$

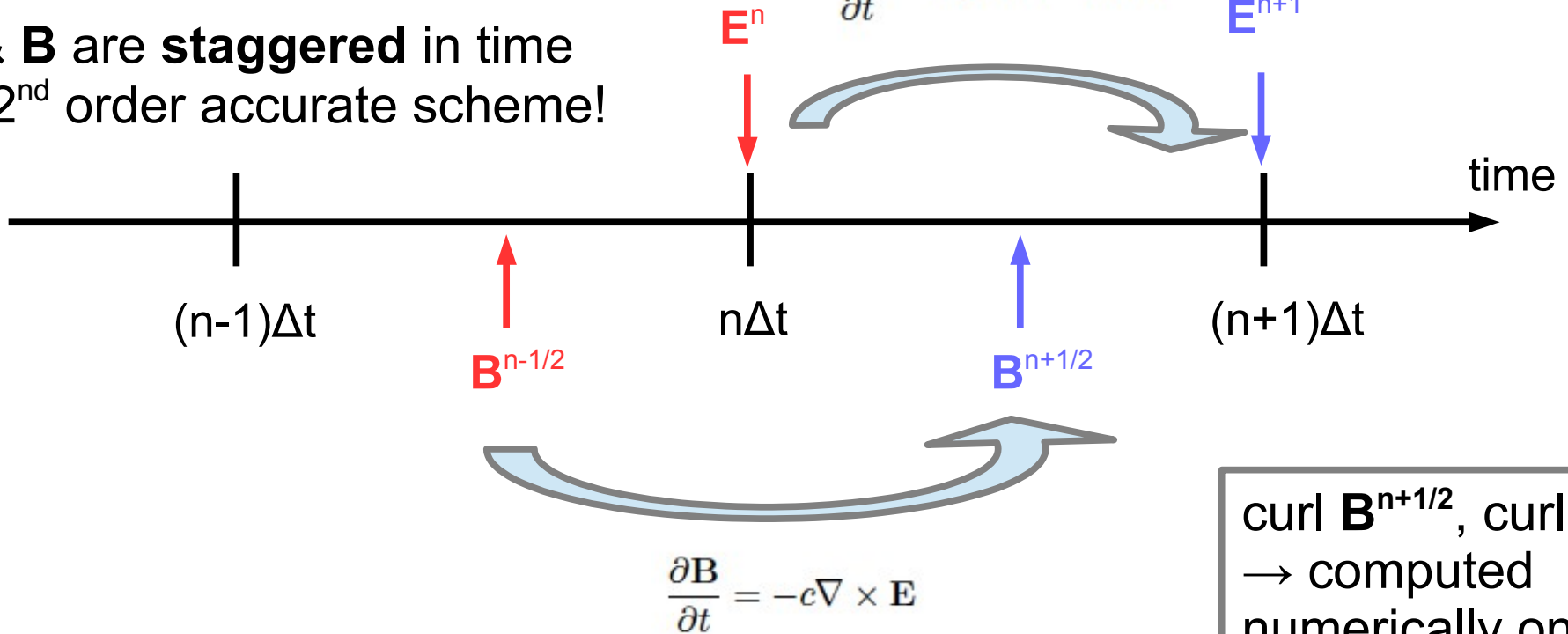
Current deposition
 $(r_k, p_k) \rightarrow J_{i,j}$

Field solver (2nd order finite-difference time-domain “Yee” scheme): time discretization

$$\left\{ \begin{array}{l} \frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B} - 4\pi \mathbf{J} \\ \frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E} \end{array} \right. \quad \mathbf{E}^{n+1} = \mathbf{E}^n + \Delta t [c \Delta \times \mathbf{B}^{n+1/2} - 4\pi \mathbf{J}^{n+1/2}]$$

$$(\partial \mathbf{E} / \partial t)^{n+1/2} \rightarrow (\mathbf{E}^{n+1} - \mathbf{E}^n) / \Delta t$$

E & B are staggered in time
 → 2nd order accurate scheme!



curl $\mathbf{B}^{n+1/2}$, curl \mathbf{E}^n
 → computed numerically on the grid

To push particle we need:

$$\mathbf{B}^n = (\mathbf{B}^{n-1/2} + \mathbf{B}^{n+1/2}) / 2 \quad \leftarrow \quad \mathbf{B}^{n+1/2} = \mathbf{B}^{n-1/2} - c \Delta t \Delta \times \mathbf{E}^n$$

Field solver (2nd order finite-difference time-domain “Yee” scheme): space discretization

Rewriting Maxwell's equation in 1D ($E=E_x$, $B=B_y$) and in vacuum ($\mathbf{J}=0$) [$c\Delta t=\Delta T$]

$$\frac{\partial \mathbf{E}}{\partial t} = c \nabla \times \mathbf{B}$$



Time discretization (2nd order)

$$(E^{n+1} - E^n)/\Delta T = -\partial B/\partial z|^{n+1/2}$$



Space discretization (2nd order)

$$(E_{k+1/2}^{n+1} - E_k^n)/\Delta T = -(B_{k+1}^{n+1/2} - B_{k-1/2}^{n+1/2})/\Delta z$$

E & B are staggered in space
 → 2nd order accurate scheme!

$$\frac{\partial \mathbf{B}}{\partial t} = -c \nabla \times \mathbf{E}$$

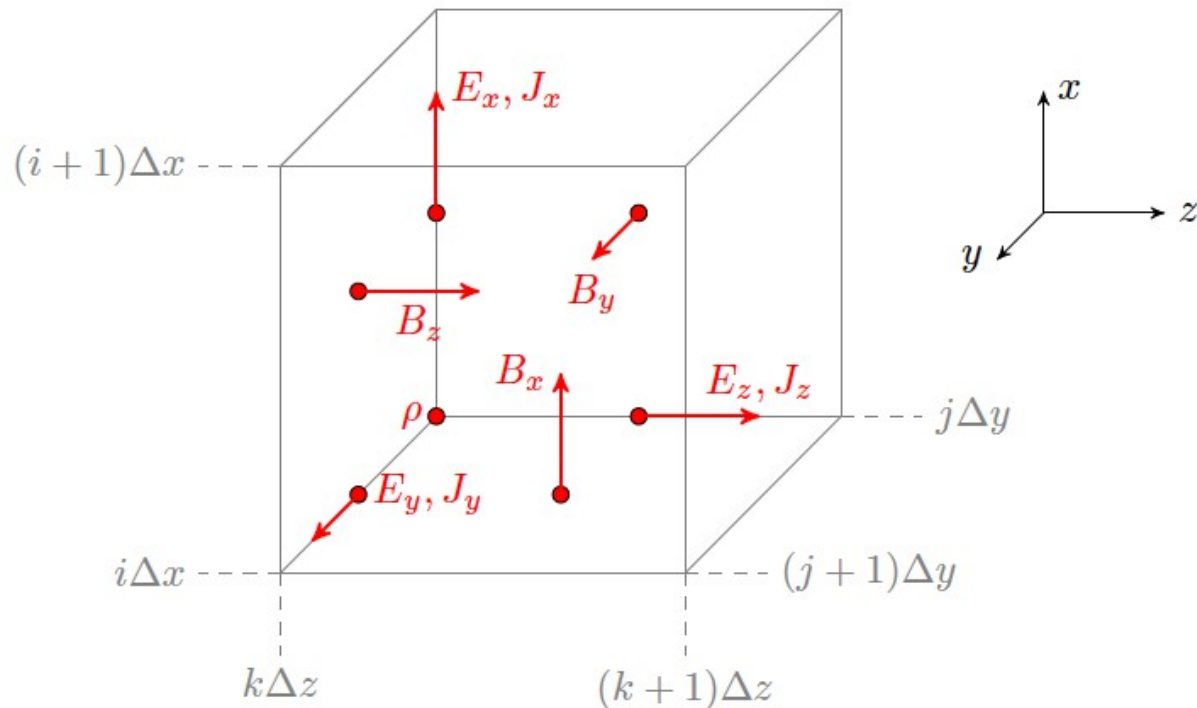


$$(B^{n+1/2} - B^{n-1/2})/\Delta T = -\partial E/\partial z|^{n+1/2}$$



$$(B_{k+1/2}^{n+1/2} - B_{k+1/2}^{n-1/2})/\Delta T = -(E_{k+1}^n - E_k^n)/\Delta z$$

Field solver (Yee) in 3D: exploits spatial and temporal staggering of fields to obtain 2nd order accurate scheme/1



=> Different components of the different fields are **staggered**, so that all derivatives in the Maxwell equations are centered

Field	Position in space and time				Notation
	x	y	z	t	
E_x	$(i + \frac{1}{2})\Delta x$	$j\Delta y$	$k\Delta z$	$n\Delta t$	$E_x^n_{i+\frac{1}{2},j,k}$
E_y	$i\Delta x$	$(j + \frac{1}{2})\Delta y$	$k\Delta z$	$n\Delta t$	$E_y^n_{i,j+\frac{1}{2},k}$
E_z	$i\Delta x$	$j\Delta y$	$(k + \frac{1}{2})\Delta z$	$n\Delta t$	$E_z^n_{i,j,k+\frac{1}{2}}$
B_x	$i\Delta x$	$(j + \frac{1}{2})\Delta y$	$(k + \frac{1}{2})\Delta z$	$(n + \frac{1}{2})\Delta t$	$B_x^{n+\frac{1}{2}}_{i,j+\frac{1}{2},k+\frac{1}{2}}$
B_y	$(i + \frac{1}{2})\Delta x$	$j\Delta y$	$(k + \frac{1}{2})\Delta z$	$(n + \frac{1}{2})\Delta t$	$B_y^{n+\frac{1}{2}}_{i+\frac{1}{2},j,k+\frac{1}{2}}$
B_z	$(i + \frac{1}{2})\Delta x$	$(j + \frac{1}{2})\Delta y$	$k\Delta z$	$(n + \frac{1}{2})\Delta t$	$B_z^{n+\frac{1}{2}}_{i+\frac{1}{2},j+\frac{1}{2},k}$

Field solver (Yee) in 3D: exploits spatial and temporal staggering of fields to obtain 2nd order accurate scheme/2

Maxwell-Ampère

$$\partial_t E_x \Big|_{i+\frac{1}{2},j,k}^{n+\frac{1}{2}} = c^2 \partial_y B_z \Big|_{i+\frac{1}{2},j,k}^{n+\frac{1}{2}} - c^2 \partial_z B_y \Big|_{i+\frac{1}{2},j,k}^{n+\frac{1}{2}} - \mu_0 c^2 j_x \Big|_{i+\frac{1}{2},j,k}^{n+\frac{1}{2}}$$

$$\partial_t E_y \Big|_{i,j+\frac{1}{2},k}^{n+\frac{1}{2}} = c^2 \partial_z B_x \Big|_{i,j+\frac{1}{2},k}^{n+\frac{1}{2}} - c^2 \partial_x B_z \Big|_{i,j+\frac{1}{2},k}^{n+\frac{1}{2}} - \mu_0 c^2 j_y \Big|_{i,j+\frac{1}{2},k}^{n+\frac{1}{2}}$$

$$\partial_t E_z \Big|_{i,j,k+\frac{1}{2}}^{n+\frac{1}{2}} = c^2 \partial_x B_y \Big|_{i,j,k+\frac{1}{2}}^{n+\frac{1}{2}} - c^2 \partial_y B_x \Big|_{i,j,k+\frac{1}{2}}^{n+\frac{1}{2}} - \mu_0 c^2 j_z \Big|_{i,j,k+\frac{1}{2}}^{n+\frac{1}{2}}$$

Maxwell-Faraday

$$\partial_t B_x \Big|_{i,j+\frac{1}{2},k+\frac{1}{2}}^n = -\partial_y E_z \Big|_{i,j+\frac{1}{2},k+\frac{1}{2}}^n + \partial_z E_y \Big|_{i,j+\frac{1}{2},k+\frac{1}{2}}^n$$

$$\partial_t B_y \Big|_{i+\frac{1}{2},j,k+\frac{1}{2}}^n = -\partial_z E_x \Big|_{i+\frac{1}{2},j,k+\frac{1}{2}}^n + \partial_x E_z \Big|_{i+\frac{1}{2},j,k+\frac{1}{2}}^n$$

$$\partial_t B_z \Big|_{i+\frac{1}{2},j+\frac{1}{2},k}^n = -\partial_x E_y \Big|_{i+\frac{1}{2},j+\frac{1}{2},k}^n + \partial_y E_x \Big|_{i+\frac{1}{2},j+\frac{1}{2},k}^n$$

$$\partial_t F \Big|_{i',j',k'}^{n'} \equiv \frac{F_{i',j',k'}^{n'+\frac{1}{2}} - F_{i',j',k'}^{n'-\frac{1}{2}}}{\Delta t}$$

$$\partial_x F \Big|_{i',j',k'}^{n'} \equiv \frac{F_{i'+\frac{1}{2},j',k'}^{n'} - F_{i'-\frac{1}{2},j',k'}^{n'}}{\Delta x}$$

$$\partial_y F \Big|_{i',j',k'}^{n'} \equiv \frac{F_{i',j'+\frac{1}{2},k'}^{n'} - F_{i',j'-\frac{1}{2},k'}^{n'}}{\Delta y}$$

$$\partial_z F \Big|_{i',j',k'}^{n'} \equiv \frac{F_{i',j',k'+\frac{1}{2}}^{n'} - F_{i',j',k'-\frac{1}{2}}^{n'}}{\Delta z}$$

What happens to $\text{div } \mathbf{B} = 0$ and $\text{div } \mathbf{E} = 4\pi\rho$ equations?

- The (discretized) $\text{div } \mathbf{B} = 0$ and $\text{div } \mathbf{E} = 4\pi\rho$ equations must be satisfied for $t=0$ (consistent initial condition)
- If $\text{div } \mathbf{B} = 0$ is satisfied for $t=0$, then it remains satisfied at all times as long as \mathbf{B} is evolved with the Faraday equation. This remains true when equations are discretized in space and time (provided that $\text{div } \text{curl} = 0$)
- If $\text{div } \mathbf{E} = 4\pi\rho$ is satisfied for $t=0$ then it remains satisfied at all times if continuity equation ($\text{div } \mathbf{J} + \partial\rho/\partial t = 0$) holds
- Unfortunately, using direct charge and current deposition (i.e., \mathbf{J} and ρ from numerical particles via shape-functions), the discretized version of the continuity equation is **not satisfied** ($\text{div } \mathbf{E} \neq 4\pi\rho$):
 - At each step correct \mathbf{E} , namely $\mathbf{E}' = \mathbf{E} - \text{grad}[\delta\phi]$, so that $\text{div } \mathbf{E}' = 4\pi\rho$
 $\rightarrow \Delta(\delta\phi) = \text{div } \mathbf{E} - 4\pi\rho$ [Boris correction];
 - Construct \mathbf{J} in such a way cont. equation is automatically satisfied [Esirkepov, 2001];

References

LPA physics:

- E. Esarey, C. B. Schroeder, and W. P. Leemans, Rev. Mod. Phys. 81, 1229 (2009)

PIC method:

- C. K. Birdsall and A. B. Langdon, Plasma Physics Via Computer Simulation (Adam-Hilger, 1991)
- J.-L. Vay and R. Lehe, Rev. Accl. Sci. Tech. 09, 165 (2016)
- Haugboelle *et al.*, Physics of Plasmas 20, 062904 (2013)
- T. Esirkepov, Computer Physics Communications, 135(2), 144 (2001)